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PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademan U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES			IITED STATES	ATTORNEY'S DOCKET NUMBER				
DESIGNATED/ELECTED OFFICE (D			(DO/EO/US)	037068.56316US U.S. APPLICATION NO. (If known, see 37 CFR 1.5)				
CO	NCE	RNING A SUBMISSION UNDE	R 35 U.S.C. 371	10/534,999				
INTERNATIONAL APPLICATION NO.			INTERNATIONAL FILING	DATE	PRIORITY DATE CLAIMED			
PCT/EP03/12841			11/17	//2003	11/18/2002			
TITLE	TITLE OF INVENTION DISC BRAKE HAVING AN ADJUSTMENT DEVICE IN PARTICULAR FOR A COMMERCIAL VEHICLE							
APPLICANT(S) FOR DO/EO/US								
Josef WIMMER, et al.								
Applic	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1.		This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.						
2.	\boxtimes	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.						
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
4.	\boxtimes	The US has been elected (Article 31).						
5.		A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
		a. is attached hereto (require	ed only if not communica	ted by the International B	ureau).			
		b. has been communicated by the International Bureau.						
		c. Is not required, as the app	lication was filed in the U	United States Receiving C	Office (RO/US).			
6.	\boxtimes	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
		a. 🔀 is attached hereto.						
		b. has been previously subm	nitted under 35 U.S.C. 15	54(d)(4).				
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
		 a. are attached hereto (required only if not communicated by the International Bureau). 						
		b. have been communicated	have been communicated by the International Bureau.					
		c. have not been made; how	vever, the time limit for m	aking such amendments	has NOT expired.			
		d. have not been made and	will not be made.					
8.		An English language translation of the	e amendments to the cla	ims under PCT Article 19	(35 U.S.C. 371(c)(3)).			
9.	\boxtimes	An oath or declaration of the inventor						
10.	\boxtimes	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
	Items	3 11 to 20 below concern document(s) or information include	ded:				
11.	\boxtimes	An Information Disclosure Statement	under 37 CFR 1.97 and	1.98, Form PTO 1449, ar	nd four (4) references.			
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13.	\boxtimes	A preliminary amendment.						
14.		An Application Data Sheet under 37 CFR 1.76.						
15.	\boxtimes	A substitute specification (Clean/Marked-Up Version).						
16.		A power of attorney and/or change of address letter.						
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.						
18.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19.	$\overline{\Box}$	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Check No. 292266 in the amount of \$120.00 Two (2) sheets of drawings including Figs. 1-3.

20.

Other items or information: Copy of the Notification of Missing Requirements, Petition for a one month extension of Time including

PTO-1390 (Rev. 02-2005)

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U.S. Patent and Tradem ce; U.S. DEPARTMENT OF COMMERCE

ATTORNEY'S DOCKET NUMBER U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO PCT/EP03/12841 037068.56316US 10/534.999 CALCULATIONS PTO USE ONLY The following fees have been submitted Basic national fee (37 C.F.R. §1.492(a))\$300.00 21. Examination fee (37 C.F.R. §1.492(c)) 22. If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0.00\$200.00 \$ All other situations... Search fee (37 C.F.R. §1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$400 \$ All other situations...... TOTAL OF 21, 22 AND 23 = \$ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE **Total Sheets** Extra Sheets thereof (round up to a whole number) \$ / 50 = - 100 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(h)). NUMBER FILED NUMBER EXTRA RATE 50 \$ Total claims - 20 x 200 \$ Independent claims - 3 X MULTIPLE DEPENDENT CLAIM(S) (if applicable) + 360 \$ **TOTAL OF ABOVE CALCULATIONS =** \$ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. \$ SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the \$ earliest claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$ Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be \$ accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property+ **TOTAL FEES ENCLOSED =** \$ 12/23/2005 MKAYPAGH 00000136 10534999 Amount to be 120.00 DP refunded: 01 FC 1251 Amount to be charged: A check in the amount of \$____ to cover the above fees is enclosed. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 037068.56316US) in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 037068.56316US). A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: かけだが・Crówell-& Moring。社はおおりは 851323 Intellectual Property Group P.O. Box 14300 Print Tell Print SIGNAT Jeffrev D. NAME Washington, D.C. 20044-4300 32.169 Tel. No. (202) 624-2500 REGISTRATION NUMBER Fax No. (202) 628-8844 December 20, 2005 DATE

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Page 1 of 2 5/03/6

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Vingina 22313-1450 www.unpto.gov

U.S. APPLICATION NUMBER NO. 10/534,999

FIRST NAMED APPLICANT

ATTY, DOOKET NO.

Josef Wimmer

03706 .53616US

INTERNATIONAL APPLICATION NO

PCT/EP03/12841

23911 **CROWELL & MORING LLP** INTELLECTUAL PROPERTY GROUP P.O. BOX 14300 WASHINGTON, DC 20044-4300

I.A. FILING DATE

PRIORITY DATE

11/17/2003

11/18/2002

国6316US

CONFIRMATION NO. 1997

371 FORMALITIES LETTER

Date Mailed: 09/27/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/17/2005
- Copy of the International Search Report filed on 05/17/2005
- Copy of IPE Report filed on 05/17/2005
- Information Disclosure Statements filed on 05/17/2005
- U.S. Basic National Fees filed on 05/17/2005
- Priority Documents filed on 05/17/2005

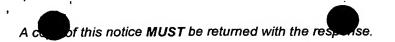
The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)



PATRICIA A BOOKER

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PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO. ATTY. DOCKET NO.		
10/534,999	PCT/EP03/12841	037068.53616US	

FORM PCT/DO/EO/905 (371 Formalities Notice)